Monday, March 6, 08:30 - 12:00

W1: Workshop Session I: Battle of the ModRecs

"Battle of the ModRecs" is a live competition-like event. A single open-air transmit system based on, but not identical to, the Entrance Hurdles for the DARPA Spectrum Collaboration Challenge (https://spectrumcollaborationchallenge.com/documents/) will be set up to generate and cycle through various modulations. Each participant will set up their system to receive the signals over the air and perform their modulation recognition ("ModRec") algorithms. The Battle of the ModRecs seeks to explore the field of modulation recognition to better understand how to approach these problems and, most importantly, to compare results.

The Battle is separated into a workshop and a live over-the-air event. The workshop will take place during the DySPAN workshop day of March 6. The Battle will take place between March 7 and 8 during the technical conference. During conference hours, the transmitter will operate on a pre-set frequency and bandwidth which will be dictated by an experimental FCC license. The competition signal generator will transmit certain types of modulated signals among a frequency-time grid with defined channel bandwidths and time slot durations. Battle participants will capture the signals and identify the modulation for as many signals as possible in the established grid.

**Modulation Recognition Using Second- And Higher -Order Cyclostationarity....1**
Chad M Spooner (NorthWest Research Associates, USA); Apurva N Mody, Jack Chuang and Josh Petersen (BAE Systems, USA)

**DFT Signal Detection and Channelization with a Deep Neural Network Modulation Classifier.....4**
Nathan E West (Naval Research Laboratory & Oklahoma State University, USA); Kellen Harwell and Ben McCall (US Naval Research Laboratory, USA)

**Modulation Recognition Using Hierarchical Deep Neural Networks.....7**
Krishna Karra, Scott Kuzdeba and Josh Petersen (BAE Systems, USA)

**Modulation Recognition with GNU Radio, Keras, and HackRF.....10**
Jack Ziegler (Northrop Grumman Coporation, Aurora CO, USA); Robert Arn (Northrop Grumman Coporation Aurora CO, USA)

Networking Break

**USRP N210 Demonstration of Wideband Sensing and Blind Hierarchical Modulation Classification...13**
Mihir Laghate and Shailesh Chaudhari (University of California, Los Angeles, USA); Danijela Cabric (University of California Los Angeles, USA)

**Phasma: An Automatic Modulation Classification System Based on Random Forest.....16**
Kostis Triantafyllakis (University of Crete, Greece); Manolis Surligas (University of Crete & Foundation for Research and Technology - Hellas, Institute of Computer Science, Greece); George Vardakis and Stefanos Papadakis (FORTH-ICS, Greece)

**Modulation Recognition Using Side Information and Hybrid Learning.....19**
Keerthi Suria Kumar Arumugam, Ishaque Ashar Kadampot, Mehrdad Tahmasbi, Shaswat Shah, Matthieu Bloch and Sebastian Pokutta (Georgia Institute of Technology, USA)

Monday, March 6, 13:30 - 17:30

W2: Workshop Session II: The Blockchain Bandwagon - The Spectrum Perspective

Blockchain can be considered as much an ideology as it is a technology. The venture capitalist and software developer Marc Andreessen considers blockchain to be as important and revolutionary as the Internet. It is therefore reasonable to expect that aspects of this technology and the ideology have the potential to underpin concepts, frameworks, regulations, and economics in the world of dynamic spectrum access.
This workshop will focus on the role of the blockchain in the spectrum world. We see this workshop as a mixture of tutorial, workshop and brainstorm for future DySPAN conferences - hence it can in principle be considered as a dual function submission.

*Blockchain Opportunities in the Citizens Broadband Radio Service Spectrum Sharing Framework: A Sharing Economy View.....N/A*
  Seppo Yrjölä (Nokia Innovation Steering, Finland)

*Blockchain Alternatives for Spectrum Policy Distribution.....N/A*
  Martin Saint (Carnegie Mellon University & University of Colorado Boulder, Rwanda); Timothy X Brown (Carnegie Mellon University, Kigali, Rwanda)

Networking Break

*The Blockchain and Spectrum Nirvana.....N/A*
  Kevin Werbach (University of Pennsylvania, USA)

*Spectrum-jail and Time Limited Lease Approaches with the Potential Use of Block-chain Technology in Spectrum Sharing Regime.....N/A*
  Amer Malki (University of Pittsburgh, USA)

Tuesday, March 7, 08:30 - 10:15

**KP1: Keynote and Panel Session I**

New Policy Approaches to Spectrum Sharing

Bio: Kathleen Grillo is senior vice president and deputy general counsel, public policy and government affairs, with responsibility for Verizon’s public policy, federal and state legislative and regulatory affairs, antitrust and privacy, and strategic alliances. Ms. Grillo previously served as Verizon’s senior vice president, federal regulatory and legal affairs. In that role, she directed the company’s legal and public policy positions before federal government agencies on a wide range of communications issues, including spectrum policy and Internet governance.

Ms. Grillo joined the company in June 2002. She joined the federal regulatory affairs organization in 2003, where she represented Verizon at the Federal Communications Commission.

Prior to joining the company, Ms. Grillo was in private practice at Williams & Connolly LLP, a Washington, D.C.-based law firm. She was a law clerk to Judge Harold H. Greene of the U.S. District Court for the District of Columbia. Ms. Grillo earned a B.A. in English, with distinction, from the University of Virginia and a J.D. from the University of Virginia School of Law, where she served as the Essays Editor for the Virginia Law Review.

Tuesday, March 7, 10:30 - 12:00

**TS1: Technical Session I: Novel Advancements in Spectrum Management**

*Using Multiple Power Spectrum Measurements to Sense Signals with Partial Spectral Overlap.....21*
  Mihir Laghate (University of California, Los Angeles, USA); Danijela Cabric (University of California Los Angeles, USA)

*Spoofing Uplink Spatial Multiplexing with Diverse Spectrum.....29*
  Adriana B. Flores and Edward W. Knightly (Rice University, USA)
Tuesday, March 7, 13:30 - 15:00

PS1: Policy Session I: Governance and Licensing

Fundamental Limits on Ex-Post Enforcement and Implications for Spectrum Rights.....53
Vidya Muthukumar and Anant Sahai (UC Berkeley, USA)

How Can Polycentric Governance of Spectrum Work?.....63
Martin B.H. Weiss, Prashant Krishnamurthy and Marcela Gomez (University of Pittsburgh, USA)

The Dynamic Policy License.....73
Timothy X Brown (Carnegie Mellon University); Martin Saint (Carnegie Mellon University & University of Colorado Boulder, Rwanda)

Tuesday, March 7, 15:30 - 17:00

TS2: Technical Session II: 2.4 GHz and Above

3.5 GHz Environmental Sensing Capability Sensitivity Requirements and Deployment.....82
Thao Nguyen and Anirudha Sahoo (NIST, USA); Michael R. Souryal (National Institute of Standards and Technology, USA); Timothy Hall (NIST, USA)

Sharing Under Licensed Shared Access in a Live LTE Network in the 2.3-2.4 GHz Band End-to-end Architecture and Compliance Results.....92
Doriana Guiducci (Fondazione Ugo Bordoni, Italy); Eva Spina (Italian Ministry of Economic Development, Italy); Pravir Chawdhry (Joint Research Centre of the European Commission, Italy); Claudia Carciofi (FUB, Italy); Valeria Petrini (Fondazione Ugo Bordoni, Italy); Giuseppe De Sipio and Domenico Massimi (Ministry of Economic Development, Italy); Sergio Pompei (Fondazione Ugo Bordoni, Italy); Seppo Yrjölä (Nokia Innovation Steering, Finland); Heikki Kokkinen (Fairspectrum, Finland); Jesus Llorente Santos, Vicent Ferrer Guasch and Jose Costa-Requena (Aalto University, Finland); Luigi Ardito (Qualcomm Inc., Italy); Pierre-Jean Muller (RED Technologies, France); Vesa Hartikainen (Nokia, Finland); Lucia Tudose (Nokia Solutions and Networks, Finland); Massimiliano Giances (Athonet, Italy); Fausto Grazioi and Donatella Caggiati (PosteMobile, Italy); Fabrizio Amerighi, Tommaso Magliocca and Domenico Spoto (Ministry of Economic Development, Italy)

Field Trial of the 3.5 GHz Citizens Broadband Radio Service Governed by a Spectrum Access System (SAS).....102
Marko Palola (VTT Technical Research Centre of Finland Ltd., Finland); Marko Höyhtyä (VTT Technical Research Centre of Finland Ltd, Finland); Pekka Aho and Mia Mustonen (VTT Technical Research Centre of Finland, Finland); Tero Kippola and Marjo Heikkinen (Centria University of Applied Sciences, Finland); Seppo Yrjölä (Nokia Innovation Steering, Finland); Vesa Hartikainen and Lucia Tudose (Nokia Solutions and Networks, Finland); Arto Kivinen, Reijo Ekman, Juhani Hallio and Jarkko
Tuesday, March 7, 17:00 - 18:00

**Demo: Demonstrations**

*Demonstrating Spectrum Sensing in Colored Noise for Signals with Partial Spectral Overlap.....111*
Mihir Laghate (University of California, Los Angeles, USA); Danijela Cabric (University of California Los Angeles, USA)

*Nearly Instantaneous Collision and Interference Detection Using In-Band Full Duplex.....113*
Tom Vermeulen (KU Leuven, Belgium); Mihir Laghate and Ghaith Hattab (University of California, Los Angeles, USA); Barend van Liempd (IMEC, Belgium); Danijela Cabric (University of California Los Angeles, USA); Sofie Pollin (KU Leuven, Belgium)

*The First End-to-End Live Trial of CBRS with Carrier Aggregation Using 3.5 GHz LTE Equipment...115*
Marko Palola (VTT Technical Research Centre of Finland Ltd., Finland); Vesa Hartikainen (Nokia Solutions and Networks, Finland); Marko Mäkeläinen (CWC, Finland); Tero Kippola (Centria University of Applied Sciences, Finland); Pekka Aho (VTT Technical Research Centre of Finland, Finland); Kalle Lähetkangas (Centre for Wireless Communications, Finland); Lucia Tudose (Nokia Solutions and Networks, Finland); Arto Kivinen (Turku University of Applied Sciences, Finland); Satya Krishna Joshi (University of Oulu, Finland); Juhani Hallio (Turku University of Applied Sciences, Finland)

*RadioHound: A Pervasive Sensing Platform for Sub-6 GHz Dynamic Spectrum Monitoring.....117*
Nikolaus Kleber, Abbas Termos, Gonzalo Martinez and John Merritt (University of Notre Dame, USA); Bertrand Hochwald (Notre Dame University, USA); Jonathan Chisum, Aaron D Striegel and J. Nicholas Laneman (University of Notre Dame, USA)

*A Cognitive Radio TV Prototype for Effective TV Spectrum Sharing.....119*
Davis Rempe, Mitchell Snyder, Andrew Pracht, Andrew Schwarz, Tri Nguyen, Mitchel Vostrez, Zhongyuan Zhao and Mehmet Can Vuran (University of Nebraska-Lincoln, USA)

*Electrosense: Crowdsourcing Spectrum Monitoring.....121*
Bertold Van den Bergh (KU Leuven, Belgium); Domenico Giustiiniano and Héctor Cordobés (IMDEA Networks Institute, Spain); Markus Fuchs (SeRo Systems GmbH, Germany); Roberto Calvo-Palomino (IMDEA Networks Institute & Universidad Carlos III de Madrid, Spain); Sofie Pollin and Sreeeraj Rajendran (KU Leuven, Belgium); Vincent Lenders (Armasuisse, Switzerland)

**P: Poster**

*Geographic Information System Benefits for the Radio Frequency Interference Monitoring System Lifecycle.....123*
Rachel Soobitsky (NOAA, USA)

*Fair Resource Allocation in the Citizens Broadband Radio Service Band.....125*
Anirudha Sahoo (NIST, USA)

*Freeing TV Spectrum with LPLT Single Frequency Networks: Repacking Irregularly Distributed Broadcasters.....127*
Rolando Bettancourt (Carnegie Mellon University, USA); Jon M. Peha (Carnegie Mellon University & White House Office of Science & Technology Policy, USA)
An Analytical Model for Inference Attacks on the Incumbent’s Frequency in Spectrum Sharing.....129
Azza Ben Mosbah (Telecom SudParis & National Institute of Standards and Technology, USA); Timothy Hall (NIST, USA); Michael R. Souryal (National Institute of Standards and Technology, USA); Hossam Afifi (Télécom SudParis, Institut Telecom & Paris Saclay, France)

Coexistence-Aware Dynamic Channel Allocation for 3.5 GHz Shared Spectrum Systems.....131
Xuhang Ying (University of Washington, USA); Milind Buddhikot (Bell Labs, USA); Sumit Roy (University of Washington, USA)

A Standard Method for Modeling Spectrum Consumption.....133
Carlos E. Caicedo Bastidas (Syracuse University, USA); John A. Stine (The MITRE Corporation, USA); Anthony Rennier (Foundry, Inc., USA); Matthew Sherman (BAE Systems, USA); Alex Lackpour (Lockheed Martin Advanced Technology Laboratories & Drexel University, USA); Mieczyslaw Kokar (Northeastern University, USA); Reinhard Schrage (IEEE, United Kingdom)

Analysis of the Experimental Licenses of the Federal Communications Commission (FCC).....135
Pedro Bustamante and Martin B.H. Weiss (University of Pittsburgh, USA); Doug Sicker (Carnegie Mellon University, USA)

OTGS: Reducing Energy Consumption of USB-connected Low-cost Sensors on Smartphones.....136
Ivar in ‘t Veen, Amjad Majid and Przemyslaw Pawelczak (Delft University of Technology, The Netherlands)

A Classification of OFDM Signals with or Without DFT Precoding Based on High-Order Moment...138
Yusaku Yamashita and Hideki Ochiai (Yokohama National University, Japan)

Impact of Model Uncertainties on Quantitative Evaluation of Interference Risks.....140
Janne Riihijärvi, Daisy Malbam and Petri Mähönen (RWTH Aachen University, Germany)

Investment in an Unlicensed Spectrum Market with Contracts.....142
Yining Zhu and Randall A Berry (Northwestern University, USA)

Enhanced 5G Spectrum Sharing Using a New Adaptive NC-OFDM Waveform with Reconfigurable Antennas.....144
Alex Lackpour (Lockheed Martin Advanced Technology Laboratories & Drexel University, USA); Chase Hamilton, Marko Jacovic, Ilhaan Rasheed, Xaime Rivas Rey and Kapil Dandekar (Drexel University, USA)

Wednesday, March 8, 08:30 - 10:15

KP2: Keynote and Panel Session II

Paving the Path to Three Tier Spectrum Sharing

Bio: Manuel Uhms is the Director of Marketing at Ettus Research, a National Instruments company, the leader in Software Defined Radio platforms. Manuel has business responsibility for the Ettus USRP (GNU Radio open source-based), NI USRP (LabVIEW-based) and NI ATCA portfolios. As such, he has responsibility for portfolio management including product strategy, roadmaps, and pricing. Manuel is also the Chair of the Board of Directors of the Wireless Innovation Forum (formerly the SDR Forum). He has served on the Board since 2003 in various roles including Chair of the Markets Committee, Chair of the User Requirements Committee, Chief Marketing Officer and Chief Financial Officer.

Previously, Manuel Uhms was the Vice President of Marketing at Coherent Logix, where he was responsible for all marketing and sales activities related to their 100-core DSP product portfolio. Prior to that, Manuel was the Marketing Director of Mobile for MIPS Technologies where he was responsible for leading MIPS’ penetration into the mobile industry, including applications processors, LTE UE baseband processors and mobile connectivity processors. Prior to joining MIPS, Manuel was the Director of Wireless Communications for Xilinx, the leading supplier of programmable logic devices, where he grew the wireless business into Xilinx’s #1 end market.

Talk: Paving the Path to Three Tier Spectrum Sharing
Spectrum scarcity has been a hot topic as spectrum auctions worldwide have brought in unprecedented amounts of money into treasuries. However, most of the available spectrum worldwide has already been allocated on an exclusive basis. Since spectrum is a limited resource, the future of spectrum relies on better utilization - thus the impetus behind spectrum sharing. The FCC and the Wireless Innovation Forum (WINNF) are leading the way by pioneering a three-tier model of spectrum sharing between maritime radar (the incumbent) and commercial data services in the 3.5GHz band, also known as the Citizens Broadband Radio Service (CBRS). This presentation will review CBRS, the three-tier model, and the role of WINNF in defining the technical specifications.

Wednesday, March 8, 10:30 - 12:00

PS2: Policy Session II: Interference Management

Measurement Procedures for Design and Enforcement of Harm Claim Thresholds.....146
Janne Riihijärvi and Petri Mähönen (RWTH Aachen University, Germany); Jean Pierre de Vries (University of Colorado, Boulder, USA)

Radio Frequency Interference Monitoring System for Weather Satellite Ground Stations: Challenges and Opportunities.....156
Pouyan Amirshahi (Noblis, Inc., USA); Steven Grippando (NOAA, USA)

Analysing Wi-Fi/LTE Coexistence to Demonstrate the Value of Risk-Informed Interference Assessment.....163
Andra M. Voicu and Ljiljana Simić (RWTH Aachen University, Germany); Jean Pierre de Vries (University of Colorado, Boulder, USA); Marina Petrova and Petri Mähönen (RWTH Aachen University, Germany)

SC1: Spectrum Challenge Session I

A Cognitive Overlay System Based on FBMC.....173
Felix Wunsch (Communications Engineering Lab, Karlsruhe Institute of Technology, Germany); Sebastian Koslowski and Sebastian Müller (Karlsruhe Institute of Technology (KIT), Germany); Nicolas Cuervo (Communications Engineering Lab, Karlsruhe Institute of Technology, Germany); Holger Jäkel (Karlsruhe Institute of Technology (KIT), Germany); Friedrich K. Jondral (Karlsruhe Institute of Technology, Germany)

An Agile OFDM Cognitive Radio Engine.....175
Stefanos Papadakis (FORTH-ICS, Greece); Manolis Surligas (University of Crete & Foundation for Research and Technology - Hellas, Institute of Computer Science, Greece); Kostis Triantafyllakis (University of Crete, Greece); George Vardakis (FORTH-ICS, Greece); Athanasios Gkiolias (University of Crete, Greece); Nikolas Karamolegos (University of Crete & Institute of Computer Science (ICS) of Foundation for Research and Technology - Hellas (FORTH), Greece); Antonis Makrogiannakis (FORTH-ICS, Greece)

Context-Aware Cognitive Radio Using Deep Learning.....177
Francisco Paisana (Trinity College & Aalborg Universitet, Ireland); Andre Puschmann (Trinity College Dublin, Ireland); Ahmed Selim (Trinity College, Dublin, Ireland); Christian Bluemm (CONNECT at Trinity College Dublin, Ireland); Justin Tallon (University of Dublin, Trinity College & CTVR, Ireland); Pedro Alvarez (CTVR, Trinity College, Ireland); Maicon Kist (Universidade Federal do Rio Grande do Sul, Brazil); Luiz DaSilva (Trinity College & Trinity College Dublin, Ireland)

Design and Implementation of the Secondary User-Enhanced Spectrum Sharing (SUeSS) Radio...179
Alex Lackpour (Lockheed Martin Advanced Technology Laboratories & Drexel University, USA); Chase Hamilton, David Tigreros, Matthew Giovannucci, Matthew Marcou, Yuqiao Liu, Marko Jacovic
and Kapil Dandekar (Drexel University, USA); Sean Mason (Lockheed Martin Advanced Technology Laboratories, USA)

Wednesday, March 8, 13:30 - 15:00

SC2: Spectrum Challenge Session II

TS3: Technical Session III: Scheduling, MAC and Time-Domain Management

**COTA: Channel Occupancy Time Adaptation for LTE in Unlicensed Spectrum**.....181
Kangjin Yoon, Taejun Park, Jihoon Kim, Weiping Sun, Sunwook Hwang, Ingab Kang and Sung hyun Choi (Seoul National University, Korea)

**Sense-and-Predict: Opportunistic MAC Based on Spatial Interference Correlation for Cognitive Radio Networks**.....191
Jeemin Kim (Yonsei University, Korea); Seung-Woo Ko (The University of Hong Kong, Hong Kong); Han Cha and Seong-Lyun Kim (Yonsei University, Korea)

**Impact of Asynchronous Transmissions in Noncontiguous OFDMA**.....201
Ratnesh Kumbhkar (WINLAB, Rutgers University, USA); Gokul Sridharan (Rutgers University & WINLAB, USA); Narayan Mandayam and Ivan Seskar (WINLAB, Rutgers University, USA); Sastry Kompella (Naval Research Laboratory, USA)

**Joint Transmission and Cooperative Spectrum Sensing Scheduling Optimization in Multi-Channel Dynamic Spectrum Access Networks**.....210
Arash Azarfar (Ecole Polytechnique de Montréal, Canada); Chun-Hao Liu (University of California, Los Angeles, USA); Jean-François Frigon (Ecole Polytechnique de Montréal and GERAD, Canada); Bruni labe Sanso (Ecole Polytechnique de Montreal, Canada); Danijela Cabric (University of California Los Angeles, USA)

Wednesday, March 8, 15:30 - 17:00

PS3: Policy Session III: Competition and Shared Spectrum

**The Impact of Short-Term Permits on Competition in Unlicensed Spectrum**.....220
Xu Wang and Randall A Berry (Northwestern University, USA)

**Investing in Shared Spectrum**.....230
Chang Liu, Sihua Fu and Randall A Berry (Northwestern University, USA)

**The Impact of Small-Cell Bandwidth Requirements on Strategic Operators**.....240
Cheng Chen (Intel Corporation, USA); Randall A Berry and Michael Honig (Northwestern University, USA); Vijay Subramaniam (University of Michigan, USA)
SC3: Spectrum Challenge Session III

Thursday, March 9, 08:30 - 10:00

TS4: Technical Session IV: Spectrum (Re-)Use Analysis

**TESSO: An Analytical Tool for Characterizing Aggregate Interference and Enabling Spatial Spectrum Sharing.....249**
Sudeep Bhattarai and Jung-Min (Jerry) Park (Virginia Tech, USA); William Lehr (Massachusetts Institute of Technology, USA); Bo Gao (Beijing Jiaotong University, P.R. China)

**Coverage and Rate Analysis of Super Wi-Fi Networks Using Stochastic Geometry.....259**
Neelakantan Nurani Krishnan (WINLAB, Rutgers University, USA); Gokul Sridharan (Rutgers University & WINLAB, USA); Ivan Seskar and Narayan Mandayam (WINLAB, Rutgers University, USA)

**Probabilistic Reasoning and Risk-Constrained Dynamic Spectrum Access.....269**
Todd W Martin (Science and Technology Associates, Inc. & George Mason University, USA); Kuochu Chang (George Mason University, USA)

**Location Privacy from Dummy Devices in Database-Coordinated Spectrum Sharing.....279**
Nirajan Rajkarnikar (Carnegie Mellon University, USA & Universidade do Porto, Portugal); Jon M. Peha (Carnegie Mellon University & White House Office of Science & Technology Policy, USA); Ana C Aguiar (University of Porto & Instituto de Telecomunicações, Portugal)

Thursday, March 9, 10:15 - 12:00

KP3: Keynote and Panel Session III

Unlocking New Spectrum Assets for 5G

Bio: Klaus Doppler joined Nokia Research Center in 2002. He has contributed to Nokia’s 4G, 5G and Wi-Fi research in multiple roles. Currently, he is heading the Connectivity Lab in Nokia Bell Labs and his research focus is on indoor networks. In the past, he has been responsible for the wireless research and standardization (4G, 5G, Wi-Fi) in Nokia Technologies, incubated a new business line, contributed to Nokia’s radio technology vision and has led various research projects. He has pioneered research on Device-to-Device Communications underlaying LTE networks. Klaus received his PhD. from Helsinki University of Technology, Finland in 2010 and his MSc. in Electrical Engineering from Graz University of Technology, Austria in 2003. He has more than 75 pending and granted patent applications and he has published 30 journal and conference publications and book chapters and received several inventor awards at Nokia.

Talk: Unlocking New Spectrum Assets for 5G